Transaction Form For Existing Investors



	his Form is for use by existing Unitholders of all funds of Helease use a separate Form for each Transaction Distributor / Broker ARN Sub-Br	SBC Mutual Fund on oker Code	-	office Use Only	CT									
	ARN -82882				No. CT									
co as	ease note, any Application Form without an ARN number or valid A de. Any correction of Broker Code requires investor's authentication sessment of various factors including the service rendered by the	on. Upfront commission	ed as a direct appli shall be paid dire	cation. Incase of Direct application ctly by the investor to the AMF	I registered Distributors based on the investor's									
	JNITHOLDER INFORMATION Folio Sole / First	Mr Ms M/s			(MANDATORY)									
I F	Canclosed (*) PAN ** (Mandatory) PAN Card Copy KYC Compliance Proof* Update the above KYC / PAN Mandatory for Minors) Transactions subject to rejection if minor has turned major and relevance.	Guardian PAN Card Copy KYC Compliance Pr Update the above K re you a resident of U	YC / PAN ☐	,	Default if not ticked.									
1	We.f. January 1, 2011, all the applicants need to be KYC Comp ** Please note that w.e.f. 01 January, 2008, PAN Number is Mandatory	liant irrespective of the	amount invested	including switch). Please see po	oint 10 under General Instructions.									
5	ADDITIONAL PURCHASE (Please your choice of Scheme Name HEF HIOF HMEF HPTF HEMF Plan	Scheme / Plan / Op HISF HDF HBF	•	· ·	(See instruction 1-4) HMIP-S HFRF HCF HUSBF HFDF nal Institutional Plus									
	Sub-option Growth (default) Dividend Reinvestme	nt ^{ss} Dividend Payou	Dividend Frequency	Daily** Weekly**	Monthly# Quarterly Forthrightly									
]		DD charges Rs.) Cheque / DD No.	y discrepancy betwe	Net Amount (Cheque / DD amount) (Rs.) Tent Savings NRO* NI	Dated									
	Drawn on Bank Branch City													
	Documents attached to avoid Third Party Payment Rejection where appli													
	MANDATORY DECLARATION: The details of the bank account provided about the confidence of the co				tionship with the bank account holder (\checkmark) \square Parent er the section on Third Party Payments in the SAI).									
	REDEMPTION (Please ✓ your choice of Scheme / Plan				(See instruction 1, 2, 3 & 5)									
	Scheme Name HEF HIOF HMEF HPIF HEMF HIS	SF HDF HBF HIF	F HUOF HSCF Option Dividend	Regular Institu	IFRF HCF HUSBF HFDF HFTS HINF utional Institutional Plus									
	Sub-option Growth Dividend Reinvestment	Dividend Payou	it Frequency	Daily** Weekly**	Monthly [#] Quarterly Fortnightly [^]									
[Amount (Rs. in figures)	OR N	lo. of Units		All Units									
	(Rs. in words)													
5	SWITCH (Please \(\) your choice of Scheme / Plan / O Switch From Scheme HEF HIOF HMEF HPTF HE Name HBF HIF HGF HMIP-R HMIP	MF HTSF HD	Switch To F Scheme Name	HEF HIOF HEMF HEMF	IMEF									
1	HCF HUSBF HFDF HFTS Plan	HINF HSC	Plan	HMIP-S HFRF H	ICF HUSBF HFDF HUOF									
	Option Regular Institutional Institution	al Plus	Option	Regular Institut	tional Institutional Plus									
5	Sub-option Growth (default) Dividend Reinvestment	Dividend Payout	Sub-option	Growth (default) Div	ridend Reinvestment ^{\$\$} Dividend Payout									
	Dividend Frequency Daily** Weekly† Monthly# Quarterly\$ I	Fortnightly Half Yearly	Dividend Frequency	Daily** Weekly† Month	nly [#] Quarterly ^{\$} Fortnightly [^] Half Yearly ^{††}									
f (Amount (Rs. in figures) **Applicable for Institutional / Institutional Plus options of HCF, HFR for Institutional / Institutional Plus options of HFRF-ST, HFRF-LT, H only for dividend amount equal to or greater than 1 lacs. # Applicable only. *Applicable for HMIP-R, HMIP-S and HIF-IP only. * Applic of HFDF. Please note that dividend payout is available only in the I CHANGE OF BANK MANDATE	USBF, HCF and Regula e for Institutional / Institutional & Ins	ar option of HFRF- utional Plus Optior tutional Option of	ST, HFRF-LT, HUSBF, HCF only s of HCF, HFRF-ST & LT, HIF-S HFDF and Institutional Option o	y. Dividend Payout in case of HFRF-LT is done SP, HUSBF, HFDF, HGF, HMIP-R and HMIP-S									
	OR INVESTORS WHO HAVE REGISTERED FOR MULTIF													
	The redemption should be processed into the following reg	gistered bank accoun	it as per the pay	out mechanism indicated by	me/us:									
	Branch Bank			City										
l t	Account No. Important Note: The Bank account details mentioned above the redemption will be processed into the "Default" bank account details mentioned above the role of the unitholder of the unitholder of the liable for any loss arising to the unitholder of the liable for any loss arising the liable f	e should be pre-regis count registered for t to the credit of reden	stered as a valid the aforesaid folionption proceeds	o. HSBC Mutual Fund or Hanto any of the bank accounts	details are not filled above OR incorrect, SBC Asset Management (India) Pvt. Ltd.									
1	Change of Bank Account Details: Please use Multiple Base important Note: If incorrect/ incomplete supporting document already registered in the folio. HSBC Mutual Fund to the credit of redemption proceeds into any of the bank account already.	ank Account Registra ents are submitted for or HSBC Asset Mana	ation / Deletion r changing the ba agement (India)	Form. ink account in the folio, the re Pvt. Ltd. will not be liable fo	edemption will be processed into the bank or any loss arising to the unitholder(s) due									
1	ACKNOWLEDGEMENT SLIP (To be filled by the	investor) This Ackn	nowledgement Slip	is for your reference only. Inform	nation provided on the form is considered final									
F	olio No. Nam		n the above me	ntioned investor the follow	ing: No. CT									
	Additional Purchase Scheme		Amount (Rs.)	II. CSCOT LIC TOHOW										
	Cheque No(s)	Drawn on												
	Redemption or Switch Amount (Rs.) STP SEP Change of Bank Mandate Change	ro of Address Th	OR Units	cellation of Nomination										
_	STP SEP Change of Bank Mandate Change	,			Signature, Stamp & Date									

6	CHANGE OF ADDRESS / E-MAIL / CONTACT DETAILS																												
	New Address for correspondence : Address is (Please ✓) : ☐ Home ☐ Office													e															
																									T				
														+			_			_				\Box					
	City													+			_				Pin (Code‡							
	State													C	ountry	t					1111	Couct				-			
	DI 0							Ex	tn.					_	ax		-			-									
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		omont Annua	1 Domouto	and other	minform	otion inc	tontly by a					rich 1	o rec	ceiv	e und	atec v	ia SN	AS.	Mobile	No.				\Box					
		Receive Account Statement, Annual Reports and other information instantly by e-mail									110 11	1511	o rec	CCIV	c upu	aucs v	ia bi	1.5	MODIN	110.									
	e-mail											T 4	***					. 1.0	<u> </u>			C 1 1			11		*11.1		
	☐ I / We wish to receive the above by email ☐ I / We do not wish to receive the above by email. ^ Not processed as per the default option, i.e., receive the account statement, annual reports and other correspondence by e-m												When	re the	e invest	or has	not op	ted for	any optio	n or ha	s opted	for both	optic	ons, the	applica	ation W Instruc	ill be		
7	SYSTEMATIC E															upuates	OII IVI		gistrati		1 to poi	Can			Jenerai	msuuc	tions.		
1													_			TTD 67	D D		_						HEDE		HOE		
	Scheme Name														H	UOF													
	Sub-option										t##	Or	tion			R	egula	r	Instit	utiona	ıl	Insti	itutio	nal F	lus				
	Withdrawal Options											Fre	equer	ncv	(√)		Mon	thly	Q	uarter	ly								
	Period of enrolment	t From	M M /	YY	YY	To	мм/	Y	Y	Y	Y	Withdrawal Amount (Minimum Rs. 1000 and in multiples of Re.1/- thereafter)														ter)			
	Note : SEP will be								Rs.							Redemption amount will equal appreciation										tion			
8	SYSTEMATIC T				-			ome	(e)	who	ro an)				Po	aietrati	on	Ť	Can	ce11s	ation					
	Transfer from	MANUSI LIN	FLAIN	(311)	(1.01	mvesto	is in sci	ıcıııc	(3)	WIIC	тс ар	applicable) Registration Cancellation Transfer to Scheme :																	
		HIF HGF HMIP-R HMIP-S HFRF HCF HUSBF HFI									HFDF															HDF			
												Option Growth* Dividend Reinvestmentss Dividend Payout																	
	Plan												noun		-r _		JIOW	(Minimum transfer amount Rs. 1000/-											
	Option	Regular	In	stitution	191		Inctituti	onal	Plu	10			stalm					except HTSF. For HTSF Rs 500											
	Option Regular Institutional Institutional Plus								ST	P D	ate	(✓)	Mor	thly#	# 3 rd 10 th 17 th 26 th 30 ^{th##} All Dates														
	Sub-option	Growth (de	fault)	Divid	end Re	investme	ent 🗆 D	Divido	end	Payo	out	## Last Business Day of the month for February. Minimum period for STP is 3 i										is 3 m	onths.	.					
	Dividend								Instalment							Fror	m M M / Y Y Y TO M M / Y Y Y Y										v		
	Frequency									Yearly	TT								he STP date incase of Registration & 14 days incase of Cancellation.										
	** Applicable for Ins	•									Regul	ular option of HFRF-ST, HUSBF and HCF. † Applicable for Institutional / Institutional Plus																	
	options of HFRF-ST,	HFRF-LT, H	USBF, HO	CF and F	Regular (option of	HFRF-S	T, HF	RF-	LT, I	HUŠB!	F, H	CF on	nly.	Divide	end Pa	yout	in case	of HFI	RF-LT	is dor	ne only	for (divide	nd am	ount e	equal		
	to or greater than 1 la HMIP-S, HUSBF, HF	cs. # Applical	ole for Ins IP only	^ Applic	d / Instit	utional P	lus Optior & Institution	is of . onal (HCI Onti	on of	RF-ST	`&L Ean∉	I, HI 1 Inct	F-S	P, HU. onal (SBF, F	of H	, HGF, FRF I	, ΗΜΙΡ Τ ++ Δ	R and	HMIP ble for	'-S only r Regul	/. ³A lar an	applica ad Inc	able fo	r HMI al On	IP-R,		
	of HFDF. Please note	that dividend	payout is	availabl	e only ir	n the Moi	nthly, Qua	rterly	/ &]	Half	Yearly	Sub-	Optio	ons.	ss Not	appli	cable	in case	of HTS	SF. #	Payout	t will b	e dep	ender	nt on th	ne Sch	eme.		
9	NOMINATION (To be filled	and sig	ened by	Indivi	idual(s)	applyin	g siı	ngly	or	jointl	lv)																	
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	and										_ and	l																	
	(strike out which is	not applicabl	e) do her	reby non	ninate th	ne underr	nentioned	non	nine	e(s) t	o rece	ive t	he ur	nits	allotte	d to r	ny / o	our cre	edit in n	ny Fol	io in 1	the eve	ent of	f my	our c	leath.			
	Name and Address	of Nomine				a minor,	Name 8	k Ad	dre	ss of						atory													
			Fi	rst Non	ninee						S	econ	d No	omi	nee				Third Nominee										
	Name																												
	Guardian's Name																												
	Guardian's Address																												
	(in case of Minor)																												
	Allocation %	ite of Birth																											
	Date of Birth																												
	(if nominee is a minor)	I/We do not wish to appoint a Nominee in this Folio (default in case details										ah	OVE !	are	not	nrov	ided	q/ 											
		ish to appo	mile at 1	· ·	- 111 (11	15 1 0110	(uciau	11 111	ca	se u	cuiis	ab																	
	Signature(s)		Sole/	First Ap	pplicant	t					Se	econ	d Ap	plio	ant				Third Applicant										
10	DECLARATION	AND SIGI	NATUR	RES (In	case o	of joint	holding	, sign	nati	ures	of al	l un	it ho	olde	rs ar	e ma	ndat	ory)											
	The Trustees, HSBC Mutual Fund											iconad till dota. I / We harehy					Siş	gnature	shou	ld be	in Bla	ack (or Bl	ue ink	only	7.			
	Having read and understood the contents of the Combined Scheme Information Document, SAI and Addenda of the Scheme apply under Direct / AMFI Certified empanelled distributors to the Trustees of HSBC Mutual Fund for units of the Scheme /																		/First										
	and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Sche nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We hereby authorise HSBC Mutu														holder/ rdian/P0	١٨													
	and its Agents to disclose of	nd its Agents to disclose details of my / our investment to my/our bank(s) / HSBC Mutual Fund's Bank(s) and / or Distributor							or / Br	oker /]	Inves	tment A	Advisor	3	Jua	i diali/ l'	<i>)</i> / 1												
	and to verify my / our bank	nd to verify my / our bank details provided by me / us. I/We hereby declare that the particulars given above are correct and nake payments referred above through participation in ECS / Direct Debit Facility. If the transaction is delayed or not effected								expres	for rea	our	willing	ness to	KE(S	Seco	ond												
	or incorrect information, I/ We would not hold HSBC Asset Management (India) Pvt. Ltd. (Investment Manager to HSBC Mutt								al Fun	at an for reasons of incomplete al Fund), their appointed service					Unit	holder/F	POA												
	roviders or representatives responsible. I/We will also inform HSBC Asset Management (India) Pvt. Ltd., about any changer ave read and agreed to the terms and conditions for ECS / Direct Debit. *I/We confirm that I am/we are Non-Residents of Ir									n my / our bank account. I/We																			
	the funds are remitted from	ne funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR Account. I / We							I / We	confirm that the details provided Mutual Fund is derived through egislation or any other applicable					SIGNATURE(S)	Third													
		y me / us are true and correct. I / We hereby declare that the amount being invested by me/us in the Scheme(s) of HSBC gitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or														S	Unit	holder/F	POA										
	laws or any Notifications,	aws or any Notifications, Directions issued by any governmental or statutory authority from time to time.													_							_			\dashv				
	*Applicable to NRI: I/We confirm that the ARN holder has disclosed to me/us all the commissions (in the form of trail commis to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommend															Date	e												

Applications from investors resident in USA or Canada shall be rejected.

Default options will be applied in cases where the information provided is either ambiguous or has any discrepancy.

INSTRUCTIONS

INSTRUCTIONS

GENERAL INSTRUCTIONS: 1. This Form is for use by existing unitholders of all funds of HSBC Mutual Fund only. Please use a separate Form for each transaction. 2. If any alteration is made, then a countersign is mandatory. 3. Investors should refer to the Combined Scheme Information Document, Statement of Additional Information, Addenda and KIM of the respective Schemels) carefully before filling the Application Form. 4. Please refer to the Combined Scheme Information Document, Statement of Additional Information or cut-off timings, available product features, add-ons and dividend frequency, minimum additional purchase amounts etc.

The amount in words and figures on the cheque should not be in local languages. 5. In case of multiple holders, the dividend (if applicable) and redemption amount will be paid to the first holder. 6. Additional Purchases

- Cheque/DD must be drawn in the name of the Scheme or its abbreviation as applicable and crossed "Account payee only". Outstation cheques will not be accepted. 7. Bank charges for demand drafts will be borne by the AMC and will be limited to the bank charges as for amount up to Rs. 1,0,000/4, DD Charges are at actuals, subject to a maximum of Rs. 1,50/4 and for amount above Rs. 1,0,000/4 at Rs. 3,50/4 per Rs. 1,000/4. Minimum Rs. 12,500/4. The AMC will not entertain any request for refund of demand draft charges. Outstation cheques / outstation demands draft will not be accepted. 8. Redemption amounts should not be less then minimum repurchase amounts. 9. As per Securities and Exchange Board of India (SEBI) Circular dated 27 April 2007 and letter dated 25 June 2007, Permanent Account Number (PAN) has been made the sole identification number for all participants investing in the securities market, irrespective of the amount of investment. With effect from 1 Junavay 2008, for all applicable transactions, investors will need to submit their PAN brunber. The PAN requirements will be applicable to all joint applicants as well as the Guardian, in case of app

11. Hease note that information sought will be obtained from CVL database and information in the AIVL records will be overwritten.

INSTRUCTIONS FOR NOMINATION: Applicants applying for Units singly / jointly can also make the nomination at the time of initial investment. Investors are advised to consider availing nomination facility in their own interest. (a) The nomination can be made only by individuals applying for / holding units on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. (b) Where a folio has joint holders, all joint holders should sign the request for nomination/cancellation of nomination, even if the mode of holding is not "joint". Nomination form cannot be signed by Power of attorney (PoA) holders. (c) There can be no nomination in a folio held in the name of minor. (d) The investors/Unitholders are requested to note that a maximum of three nominees can be registered for a Folio. In case of multiple nominees, the "Share / Ratio" of nomination has to be clearly specified in the nomination form / request letter. If the "Share / Ratio" of nomination is not explicitly stated, then the nomination shall be treated at "Equal Share/Ratio". (e) A minor can be nominated and in that event, the name, address and PAN of the Guardian of the minor nominee (in case the minor does not have PAN) shall be provided by the Light Lighting Lord Example of Equal Lighting Lord Example of Equal Lighting Lord Example of Equal Lighting Lighti the information stands deleted at Equal state product (e) Artimitor can be information that the information stands are the sta a Nominee shall be valid discharge by the AMC / Mutual Fund / Trustees against the legal heir. (i) The cancellation of nomination can be made only by those individuals who hold Units on their own behalf singly or jointly and who made the original nomination. (i) On cancellation of the nomination, the nomination shall stand rescinded and the AMC / Mutual Fund / Trustees shall not be under any obligation to transmit the Units in favour of the Nominee. (k) The rights in the units will rest in the Nominee(s) only upon death of all Unitholders. (i) Every new nomination for a folio/account will overwrite the existing nomination. (m) Nomination shall be mandatory for new folios/accounts opened by individual especially with sole holding and no new folios/accounts for individuals in single holding should be opened without nomination.