Ś SUNDARAM MUTUAL

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Please refer to Product labelling details available on cover page and Your Guide To Fill The Application Form (pages 21-23) before proceeding

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3. KYC details (Mandatory) (refer in	nstruction 3) 🗆 Indi	ividual 🗆 No	n-Individual (Please attacl	n mandatory Ultimate	Beneficial Ownership (UBO) declaration form)
Status of First/Sole Applicant [Please (✓)]  Listed Company Unlisted Company Individual Minor through guardian HUF Partnership Society/Club Company Body Corporate	Occupation Details [Plea (To be filled only if the ap, First A Private Sector Service Government Service Professional Retired Student Others	ase (/)] olicant is an individual) Applicant Dublic Sector Service Business Agriculturist Housewife Forex Dealer 	Gross Annual Income (in ₹) First Appl □ Below 1 Lac □ 5-10 Lacs □ > 25 Lacs - 1 Crore Net-worth (Mandatory for nor IDIDIMIMIYIYIYIYIYI year) Second App	icant ☐ 1-5 Lacs ☐ 10-25 Lacs ☐ > 1 Crore (or) h-individuals) ₹ as on (Not older than one	PEP Status First Applicant For Individuals [Please ( )] Politically Exposed Person (PEP) Status (Also applicable for authorised signatories/Promoters/Karta/Trustee/Whole time Directors) I am PEP I am related to PEP Not Applicable For Non-Individuals providing any of the below mentioned services [Please (</)] Foreign Exchange/Money Changer Services Gaming/Gambling/Lottery/Casino Services Money Lending/Pawning</td
Trust Mutual Fund FPI NRI-Repatriable NRI-Non-Repatriable	□ Student □ Others	Applicant	□ Below 1 Lac □ 5-10 Lacs □ > 25 Lacs - 1 Crore □ > 1 Crore (or) Net-worth Third App		None of the above     Second Applicant     (To be filled only if the applicant is an individual)     □ I am PEP     □ I am related to PEP     □ Not Applicable
III Non Repairable     III     India     QFI     Others	Government Service     Professional	Business     Agriculturist     Housewife     Forex Dealer	□ Below 1 Lac □ 5-10 Lacs □ > 25 Lacs - 1 Crore □ > 1 Crore (or) Net-worth	□ 1-5 Lacs □ 10-25 Lacs	Third Applicant         (To be filled only if the applicant is an individual)         I am PEP         I am related to PEP         Not Applicable

4. FATCA-CRS DETAILS For Individuals & HUF (Mandatory) Non Individual investors should mandatorily fill separate FATCA-CRS Annexure

The below information is required for all applicant(s) / guardian / PoA holder

Category	First Applicant/Guardian	Second Applicant	Third Applicant
1. Are you a Tax Resident of Country other than India?	🗌 Yes 🔲 No	Yes No	🗌 Yes 🗌 No
2. Is your Country of Birth/ citizenship other than India?	Yes No	Yes No	🗌 Yes 🗌 No
3. Is your Residence address / Mailing address / Telephone No. other than in India?	🗌 Yes 🗌 No	🗌 Yes 🗌 No	🗌 Yes 🗌 No
4. Is the PoA holder / person to whom signatory authority is given, covered under any of the categories 1, 2 or 3 above?	🗌 Yes 🗌 No	🗌 Yes 🔲 No	🗌 Yes 🗌 No
If you have answered YES to	any of above, please provide the below	v details	
Country of Tax Residence			
Nationality			
Tax Identification Number <sup>\$</sup> or Reason for not providing TIN			
Identification Type (TIN or Other, please specify)			
Residence address for tax purposes (include City, State, Country & Pin code)			
Address Type	<ul> <li>□ Residential or Business</li> <li>□ Residential</li> <li>□ Business</li> <li>□ Registered Office</li> </ul>	<ul> <li>□ Residential or Business</li> <li>□ Residential</li> <li>□ Business</li> <li>□ Registered Office</li> </ul>	<ul> <li>□ Residential or Business</li> <li>□ Residential</li> <li>□ Business</li> <li>□ Registered Office</li> </ul>
City of birth			
Country of birth			

\$ In case any of applicant being resident/ tax payer in more than one country, provide tax identification number for each such country separately.

## **FATCA-CRS** Instructions

Details under FATCA-CRS/Foreign Tax Laws: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income Tax Rules 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities/appointed agencies. If you have any questions about your tax residency, please contact your tax advisor. Should there be any change in any information provided by you, please ensure you advise us promptly, *i.e., within 30 days*. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto. As may be required by domestic or overseas regulators/ tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s). If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number.

If you are a US citizen or resident or greencard holder, please include United States in the Country of Tax Residence field along with your US Tax Identification Number. Foreign Account Tax Compliance provisions (commonly known as FATCA) are contained in the US Hire Act 2010. It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued,

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation with supporting doucments and attach this to the form.

5. Bank Account Details of First/Sole Applicant (as per SEBI Regulations it is mandatory) (refer instruction 5)

Account No																							
Name of the Bank										Bra	anch												
Branch Address										Bai	nk Ci	ty (red	emption w	vill be pa	yable at	this locat	ion)						
Cheque MICR No					Αссοι	int Ty	rpe [P	lease	€ (✔)]	□ Sav	ings [	□ Cur	rent 🗆	NRE	* 🗆 N	IRO* [	FCI	NR* [	] Oth	ers			
RTGS / NEFT / IFSC	Code									the pa							ınd i	s no	t clea	r on	the	Che	que

### 6. Mode of payment of redemption/dividend proceeds via Direct credit/NEFT/Other Mode (refer instruction 6).

Direct Credit is now available with: Axis Bank, BNP Paribas Bank, Citibank, Deutsche Bank, HDFC Bank, HSBC Bank, ICICI Bank, IDBI Bank, IndusInd Bank, ING Vysya, Kotak Mahindra Bank, Royal Bank of Scotland, SBI, Standard Chartered Bank, YES Bank. If your bank falls in this list your Redemption/ Dividend proceeds will be directly credited to your account. Alternatively, you will receive the payment through NEFT mode based on the bank details available. Otherwise, payment will be made by way of a cheque/demand draft/warrant.

## 7. Payment Details: Please issue a separate Cheque/Demand Draft favouring the scheme you wish to invest/One Time Mandate (OTM) (refer instruction 7)

			A manuat law costo d	Net Amount	Payment Details					
Scheme Name	Plan	Option	Amount Invested (less DD charges)	Paid	OTM     Cheque / DD Number	Bank/Branch				
	□ Regular □ Direct									
	□ Regular □ Direct									
	□ Regular □ Direct									

In case of third party payment (refer instruction 7): Please download (www.sundarammutual.com) and attach the third party declaration form

8. DEMAT Account Details (refer inst	ruction 8)
□ National Securities Depository Ltd.	Depository Participant
Central Depository Services (India) Ltd.	DP ID Number

Investor willing to invest in Demat option, may provide a copy of the DP Statement enabling us to match the Demat details as stated in the application form.

9. Please indicate details of your SIP (refer instruction 9) (skip this section if you wish to make a one-time investment)

Mode of SIP Dest-dated cheques (please provide the details below) DOTM/NACH (please submit SIP Registration Form)

SIP Period (For Po	st-Dated Cheques)	SIP Date	SIP Frequency							
SIP Starting           M         Y         Y         Y	SIP Ending           M         Y         Y         Y	for Monthly/Quarterly frequency only $\Box$ 1 $\Box$ 7 $\Box$ 14 $\Box$ 20 $\Box$ 25	<ul> <li>Weekly (Minimum amount Rs 1000 Every Wednesday. Minimum No of installments 5)</li> <li>Monthly (Minimum amount Rs 250 Minimum No of installments 20)</li> <li>Quarterly (Minimum amount Rs 750 Minimum No of installments 7)</li> </ul>							
No. of PDCs	First SIP Cheque No		Last SIP Cheque No							
Each SIP Amount Re		Refer Gui	ide to investing through SIP							
		There are also for all								

# Turn overleaf for Declaration & $\measuredangle$ Signature (Mandatory) $\rightarrow \rightarrow \rightarrow$

Acknowledgement Sundaram Asset Management Company Limited, II Floor, 46 Whites Road, Chennai - 600 014. Toll Free 1800 103 7237 (India) +91 44 4083 1500 (NRI)	Serial No: EQ
Received From Mr./Mrs./Ms	ISC's Signature & Stamp Please Note: All Purchases are subject to realisation of cheques / demand drafts.

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# **Application Form**

10. Nominee (available only for individuals) (refe	r instruction 10)	llowing person(s)
1st Nominee Name: Address:	2nd Nominee Name: Address:	3rd Nominee         Name:         Address:
Proportion (%)* in which units will be shared by first nominee% If nominee is a minor: Date of birth:Relationship: Name of Guardian: Address of Guardian:	Proportion (%)* in which units will be shared by second nominee% If nominee is a minor: Date of birth:Relationship: Name of Guardian: Address of Guardian:	Proportion (%)* in which units will be shared by third nominee% If nominee is a minor: Date of birth:Relationship: Name of Guardian: Address of Guardian:
* Proportion (%) in which units will be shared by each nominee should aggr		3rd Applicant

## 11. Declaration, Certification & Signature (refer instruction 11)

**Declaration:** I/We • having read and understood the contents of the Statement of Additional Information/Scheme Information Document/addenda issued to the SID and KIM till date • hereby apply for units under the scheme(s) as indicated in the application form • agree to abide by the terms, conditions, rules and regulations of the scheme(s) • agree to the terms and conditions for OTM/NACH • have not received nor been induced by any rebate or gifts, directly or indirectly in making this investment • do not have any existing Micro SIPs/investments which together with the current application will result in the total investments exceeding Rs. 50,000 in a financial year or a rolling period of twelve months (applicable for PAN exempt category of investors). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

**Applicable to NRIs only:** Please ( $\checkmark$ )  $\Box$  I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External/Ordinary Account/FCNR Account on a  $\Box$  Repatriation Basis  $\Box$  Non-Repatriation Basis. I/We further declare that I/We am/are not a citizen of Canada.

I/We hereby declare that all the particulars given herein are true, correct and complete to the best of my/our knowledge and belief. I/ We further agree not to hold Sundaram Asset Management, its sponsor, their employees, authorised agents, service providers, representatives of the distributors liable for any consequences/losses/costs/damages in case of any of the above particulars being false, incorrect or incomplete or in case of my/our not intimating/delay in intimating any changes to the above particulars. I/We hereby authorise Sundaram Asset Management to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/us, to any Indian or foreign governmental or statutory or judicial authorities/agencies, the tax/revenue authorities, other investigation agencies and SEBI registered intermediaries without any obligation of advising me/us of the same. I/We hereby agree to provide any additional information/documentation that may be required in connection with this application.

**Certification:** I/We have understood the information requirements of this Form (read along with the FATCA-CRS Instructions), stated in pages 1-30 and hereby certify that the information provided by me/us on this Form is true, correct, and complete. I/We also confirm that I/We have read and understood the FATCA-CRS Terms and Conditions and hereby accept the same.

I/We agree to indemnify Sundaram Asset Management Company Limited in respect of any false, misleading, inaccurate and incomplete information regarding my/our "U.S. person" status for U.S. federal income tax purposes. or in respect of any other information as may be required under applicable tax laws.

Name of First / Sole Applicant / Guardian	Name of Second Applicant	Name of Third Applicant
Signature of First / Sole Applicant / Guardian	Signature of Second Applicant	Signature of Third Applicant

Date:	/	/
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#### Place:....

Particulars												
Scheme Name / Plan / Option / Sub-option	Goal	Cheque / DD / Payment Instrument Number / Date	Drawn on (Name of Bank & Branch)	Amount in figures (₹) & Amount in words								
	Lumpsum Purchase											

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