

### TRANSACTION SLIP

Please use separate transaction slip for each scheme. This Form is for use of Existing Investors only. To be filled in CAPITAL LETTERS

#### DISTRIBUTOR / BROKER INFORMATION (Refer Instruction No.8)

Name & Broker Code / ARN	Sub Broker / Sub Agent Code
ARN-82882	

Folio/Account No: \_\_\_\_\_

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor

#### Investor Details (Refer Instruction No.5 & 6)

Name of First / Sole applicant  KYC Acknowledgement Copy PAN No. \_\_\_\_\_

Name of Guardian (In case of Minor)  KYC Acknowledgement Copy PAN No. \_\_\_\_\_

Name of Second Applicant  KYC Acknowledgement Copy PAN No. \_\_\_\_\_

Name of Third Applicant  KYC Acknowledgement Copy PAN No. \_\_\_\_\_

#### Additional Purchase (Refer Instruction No.4.2)

Cheque/ DD No. \_\_\_\_\_ Cheque/ DD Date \_\_\_\_\_ DD Charge Rs. \_\_\_\_\_ Cheque/ DD Net Amount Rs. \_\_\_\_\_

Bank Name: \_\_\_\_\_ Branch: \_\_\_\_\_ City: \_\_\_\_\_

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

#### Redemption (Refer Instruction No.4.3 & 4.4)

Amount: Rs \_\_\_\_\_ or Units: \_\_\_\_\_ or  All Units

Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

**\*Please specify the bank details in which you wish to receive the redemption proceeds.**

Bank Account No: \_\_\_\_\_ Bank Name: \_\_\_\_\_

(Kindly note that this bank account should be one of the registered bank account in the folio else by default the redemption proceeds will be credited into the default bank account. Also this cannot be treated as change of bank mandate.)

#### Switch

Amount: Rs \_\_\_\_\_ or Units: \_\_\_\_\_ or  All Units

From Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

To Scheme \_\_\_\_\_ Plan \_\_\_\_\_ Option \_\_\_\_\_

#### Change of Address (With effect from February 1, 2008, Change of address request has to be submitted at POS of CVL using "KYC Details Changes" Form, If you are KYC compliant)

Add1 \_\_\_\_\_

Add2 \_\_\_\_\_ City \_\_\_\_\_

District \_\_\_\_\_ State \_\_\_\_\_ PIN\* \_\_\_\_\_

Tel. No. STD Code \_\_\_\_\_ Office \_\_\_\_\_ Residence \_\_\_\_\_ Fax \_\_\_\_\_ Mobile \_\_\_\_\_

#### Change of Bank Details (Refer Instruction No.9)

Bank Account No: \_\_\_\_\_ A/c Type  SB  CA  NRE  NRO  FCNR

Bank Name: \_\_\_\_\_

Branch & Address: \_\_\_\_\_

City \_\_\_\_\_ PIN\* \_\_\_\_\_ 9 Digit MICR No. \_\_\_\_\_ M a n d a t o r y

IFSC Code \_\_\_\_\_ Payable City: \_\_\_\_\_

#### PAN & KYC Updation

(Please Tick)  Photocopy(ies) of PAN Card(s) Submitted herewith  Photocopy(ies) of KYC letter(s) enclosed herewith

#### DECLARATION

I/We would like to invest in Reliance \_\_\_\_\_ subject to terms of the Statement of Additional Information (SAI), Scheme Information Document (SID), Key Information Memorandum (KIM) and subsequent amendments thereto. I/We have read, understood (before filling application form) and is/are bound by the details of the SAI, SID & KIM including details relating to various services including but not limited to ATM/ Debit Card. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I accept and agree to be bound by the said Terms and Conditions including those excluding/ limiting the Reliance Capital Asset Management Limited (RCAM) liability. I understand that the RCAM may, at its absolute discretion, discontinue any of the services completely or partially without any prior notice to me. I agree RCAM can debit from my folio for the service charges as applicable from time to time. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I hereby declare that the above information is given by the undersigned and particulars given by me/us are correct and complete. **Applicable for NRI Investors:** I confirm that I am resident of India. I/We confirm that I am/We are Non-Resident of Indian Nationality/Origin and I/We hereby confirm that the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident External / Ordinary Account/FCNR Account. I/We undertake that all additional purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account.

SIGNATURE/S

Sole / 1<sup>st</sup> applicant/Guardian/ Authorised Signatory

2<sup>nd</sup> applicant

3<sup>rd</sup> applicant

#### ACKNOWLEDGEMENT SLIP (To be filled by the investor)

Folio/Account No: \_\_\_\_\_

Received from Mr./Mrs. \_\_\_\_\_

Additional Purchase  Redemption  Switch  Change of Address  Change of Bank Account  PAN & KYC Updation

## INSTRUCTIONS

1. Please read the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before investing / switching to other schemes for risk factors & terms applicable to Schemes / Plans.
2. For additional purchase, the cheque / Demand Draft should be drawn in favour of the name of the scheme (e.g. Reliance Equity Fund) and crossed A/c Payee and payable locally at the place of the ISC, where the application is being submitted. Outstation cheque / DD will not be accepted.  
**If the Scheme name on the application form and on the cheque is different, then the units will be allotted as per the Scheme name mentioned in the application form.**

### PAYMENT BY CASH IS NOT PERMITTED.

3. In case of multiple holders, the dividend (where applicable) & redemption amount, will be paid to the first unit holder.

### 4. BANK DETAILS:

1. As per the SEBI guidelines, it is mandatory for investors to mention their bank account details in the application form. In the absence of the bank details the application form will be rejected.
2. Purchase Application requests should necessarily mention the pay-in bank account details i.e bank name, bank account number, bank branch used for issuing the payments to the fund. The first unit holder has to ensure that the subscription payment has to be made through his own bank account or through any of the bank account wherein he is one of the joint bank account holder. If this is not evidenced on the payment cheque/funds transfer/RTGS/NEFT request, demand draft etc given by the investor at the time of subscription then unit holder should attach necessary supporting documents as required by the fund like bank certificate, bank passbook copy, bank statement etc to prove that the funds are from a bank account held by the first unit holder only. If the documents are not submitted with the application the fund reserves the right to reject the application or call for additional details.

In specific exceptional situations where Third Party payment is permitted like i.e (I) Payment by parents/ grandparents/ related persons on behalf of the minor (other than the registered guardian) in consideration of natural love and affection or as gift for value not exceeding Rs 50000 for each purchase (ii) Payment by an employer on behalf of the employee under Systematic Investment Plans through Payroll deductions or (iii) Custodian on behalf of an FII or a client. For the above mentioned cases KYC of the investor and the KYC of the person making the payment is mandatory irrespective of the amount. Additionally declaration by the person making the payment giving details of the bank account from which the payment is being made and the relationship with the beneficiary is also required to be submitted.

3. Direct Credit of Redemption / Dividend Proceeds / Refund if any -RMF will endeavor to provide direct / electronic credit for dividend / redemption payments into the investors bank account directly. In case the direct credit is not affected by the unitholder's banker for any reason then RMF reserves the right to make the payment to the investor by a cheque / DD. If the electronic credit is delayed or not affected or credited to a wrong account, on account of incomplete or incorrect information, RMF will not be held responsible. Please provide the MICR Code/IFSC code on the right bottom of your Cheque for us to help you in future for ECS/NEFT credit of dividend and redemption payout.
4. RMF offers facility to register multiple bank accounts in the folio and designate one of the bank account as "Default Bank account". Default bank account will be used for all dividend and redemption payouts unless investor specifies one of the existing registered bank account in the redemption request for receiving redemption proceeds. A new non - registered bank account specified in the redemption request for receiving redemption proceeds will not be considered and the redemption proceeds will by default be credited into the default Bank account. The investor will have to initially get the non registered bank account registered in the folio and then apply for the redemption request. Also if no registered bank account is mentioned at the time of redemption then by default the redemption proceeds will be credited into the default Bank account.

### 5. Permanent Account Number (PAN)

SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount of purchase.

\*Where the applicant is a minor, and does not possess his / her own PAN, he / she shall quote the PAN of his/ her father or mother or the guardian, signing on behalf of the minor, as the case may be. In order to verify that the PAN of the applicants (in case of application in joint names, each of the applicants) has been duly and correctly quoted therein, the applicants shall attach along with the purchase application, a photocopy of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned immediately across the counter after verification \*includes fresh/ additional purchase, Systematic Investment. Micro SIP: A Micro SIP is a Systematic Investment Plan, where the aggregate of SIP installments in a rolling 12 month period or in a financial year i.e. April-March does not exceed Rs 50,000/- . Micro SIP benefit is available to individuals, NRI's, Minors and Sole Proprietors only. HUF's, PIO's and any other entities/ persons etc. are not eligible to avail the Micro SIP facility. The AMC will reject the application where they find that documents are deficient or where the installment total will exceed Rs 50,000/- per year. Barring investors availing the Micro SIP facility, other investors are supposed to furnish a certified copy of the PAN card with the application form. Eligible Micro SIP investors are required to submit any one of the following photo identification document as a proof of identification in lieu of PAN: 1. Voter Identity Card; 2. Driving License; 3. Government / Defense identification card; 4. Passport; 5. Photo Ration Card; 6. Photo Debit Card; 7. Employee ID cards issued by companies registered with Registrar of Companies; 8. Photo Identification issued by Bank Managers of Scheduled Commercial Banks / Gazetted Officer / Elected Representatives to the Legislative Assembly / Parliament; 9. ID card issued to employees of Scheduled Commercial / State / District Cooperative Banks; 10. Senior Citizen / Freedom Fighter ID card issued by Government; 11. Cards issued by Universities / deemed Universities or institutes under statutes like ICAI, ICWA, ICSI; 12. Permanent Retirement Account No (PRAN) card issued to New Pension System (NPS) subscribers by CRA (NSDL); and 13. Any other photo ID card issued by Central Government / State Governments /Municipal authorities / Government organizations like ESIC / EPFO.

\*Investors residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission, provided that sufficient documentary evidence shall have to be submitted to Reliance Mutual Fund for verifying that they are residents of State of Sikkim.

Applications not complying with the above requirement may not be accepted/ processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the Income Tax Department, the investment transaction will be cancelled and the amount may be redeemed at the applicable NAV, subject to payment of exit load, if any.

Please contact any of the Investor Service Centres/ Karvy/ Distributors or visit our website [www.reliancemutual.com](http://www.reliancemutual.com) for further details.

### 6. Prevention of Money Laundering and Know Your Client (KYC)

In terms of the Prevention of Money Laundering Act, 2002, the Rules issued there under and the guidelines / circulars issued by SEBI regarding the Anti Money Laundering (AML Laws), all intermediaries, including Mutual Funds, have to formulate and implement a client identification / Know Your Client programme, verify and maintain the record of identity and address(es) of investors. In order to make the data capture and document submission easy and convenient for the investors, Mutual Fund Industry has collectively entrusted the responsibility of collection of documents relating to identity and address of the investor(s) to an independent agency [presently CDSL Ventures Limited ("CVL")] which will act as central record keeping agency ("Central Agency"). As a token of having verified the identity and address of the investor(s) and for efficient retrieval of records, the Central Agency will issue a KYC Acknowledgement Letter to each investor who submits an application and the prescribed documents to the Central Agency. As per the AMFI guidelines, on and from January 1, 2011, it will be mandatory for all individual investors\* to provide attested / certified copy of 'Know Your Customer' Acknowledgement ("KYC Acknowledgement") issued by CDSL Ventures Limited (CVL) for all fresh investment transactions, including additional purchases, switches and transactions under fresh SIP/STP facilities registered from above date, irrespective of the value of such transactions. It may be further noted that for the purpose of above KYC compliance, the KYC Acknowledgement should be provided by (i) in case of application in joint names, by each joint applicant (ii) in case of application in name of a minor, by such minor's parent/ guardian signing the application; and (iii) in case of application made under a power of attorney, by both the investor as well as the power of attorney holder. a. Investors exempted from submission of KYC acknowledgement: 1. Investors residing in state of Sikkim: In lieu of KYC acknowledgement, investors residing in the State of Sikkim should provide proof of identification and proof of address (as per specified documents list) (if proof of identification also includes address, a separate address proof is not necessary). Proof of address should contain address in the State of Sikkim. The address mentioned in the application form should be the same as in the address proof. Such documentary proofs should be self-attested or attested by the distributor / any competent authority. 2. Micro SIP: Submission of KYC acknowledgement is not mandatory in case of investments as Micro SIPs. However, for such cases the proof of identification and proof of address (as specified in the above documents list) (if the proof of identification also includes address the separate address proof is not necessary) may be submitted along with the application as part of the regulatory requirement. Such documentary proofs should be self attested or attested by the distributor/ any competent authority. The KYC status will be validated with the records of the Central Agency before allotting units. Reliance Mutual Fund will not be held responsible and /or liable for rejection of KYC Form, if any, by the Central Agency. Applications for subscriptions without a valid KYC compliance may be rejected. Where it is not possible to verify the KYC compliance status of the investor at the time of allotment of units, the Trustee / AMC shall verify the KYC compliance status of the investor within a reasonable time after the allotment of units. In the event of non compliance of KYC requirements, the Trustee / AMC reserves the right to freeze the folio of the investor(s) and effect mandatory redemption of unit holdings of the investors at the applicable NAV, subject to payment of exit load, if any. The investor(s) should ensure that the amount invested in a scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act, Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued there under (AML Law) If the AMC, after applying appropriate due diligence measures, believes that the transaction is suspicious in nature within the purview of AML Law and / or on account of deficiencies in the documentation, it shall have absolute discretion to report suspicious transactions to FIU-IND and / or to freeze the folios of the investor(s), reject any application(s) / allotment of units and effect mandatory redemption of unit holdings of the investor(s) at the Applicable NAV subject to payment of exit load, if any, in terms of the communication sent by the AMC to the investor(s) in this regard.

### 7. Communication for the investors.

If the investor(s) has/have provided his/their email address in the application form or any subsequent communication in any of the folio belonging to the investor(s), RMF / Asset Management Company reserves the right to use Electronic Mail (email) as a default mode to send various communication which include account statements for transactions done by the investor(s).

The investor(s) may request for a physical account statement by writing or calling RMF's Investor Service Center/ Registrar & Transfer Agent. In case of specific request received from the investor(s), RMF shall endeavor to provide the account statement to the investor(s) within 5 working days from the receipt of such request. RMF shall comply with SEBI Circular No. IMD/CIR/12/80083/2006 dated November 20, 2006 with respect to dispatch of the account statement.

8. For Direct Investment Please Mention "Direct in the Column "Name & Broker Code/ARN"
9. For Change of Bank Mandate the investor needs to provide any of the below documents as a proof of the old bank account. 1) Cancelled Original Cheque (bearing account number and first unit holder name on the face of the cheque). 2) Original bank account statement / True copy of the bank account statement if Original bank account statement is brought to Designated investor Service Centre ("DISC") of RMF for physical verification. 3) True copy of the Bank passbook. Original Bank passbook should also be brought to DISC for verification. Original Bank passbook will be returned across the counter after due verification. 4) Duly stamped original letter from the existing banker on the letter head of the bank confirming the closure of account in case the bank account is closed. The investor needs to provide Cancelled Original Cheque of new Bank mandate as a proof of the new bank account.

### ACKNOWLEDGMENT SLIP (To be filled in by the Applicant)

11 th floor & 12th floor, One Indiabulls Centre, Tower 1, Jupiter Mills Compound,  
841, Senapati Bapat Marg, Elphinstone Road, Mumbai - 400 013.

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