



**BANK PARTICULARS OF 1ST APPLICANT (Mandatory as per SEBI Guidelines)**

Bank Name			Branch
Address			MICR Code <input type="text"/>
	City	Pin*	(this is a 9-digit number next to your cheque number)
Account type (please ✓) <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE			IFS Code <input type="text"/>
Account No. <input type="text"/>			(this is a 11-digit number)

**INVESTMENT DETAILS (FOR "DIRECT PLAN" PLEASE TICK HERE  & TICK SCHEME, PLAN/OPTION / SUB-OPTION GIVEN BELOW) (Refer Instruction 'j')**  
**PLEASE USE SEPARATE FORM FOR EACH SCHEME**

<input type="checkbox"/> UTI-CRTS	<input type="checkbox"/> UTI-GILT ADVANTAGE FUND-LTP	<input type="checkbox"/> UTI-MAHILA UNIT SCHEME	<input type="checkbox"/> UTI-MONTHLY INCOME SCHEME
<input type="radio"/> Growth	<input type="radio"/> Dividend Payout	<input type="radio"/> Dividend Reinvestment	(Default-Growth Option/Plan)
<input type="checkbox"/> UTI-G-SEC FUND-STP	<input type="radio"/> Growth	<input type="radio"/> Daily Dividend Reinvestment	<input type="radio"/> Periodic Dividend Payout
			<input type="radio"/> Periodic Dividend Reinvestment (Default-Growth Option)
<input type="checkbox"/> UTI-MIS-ADVANTAGE PLAN	<input type="radio"/> Growth Plan	<input type="radio"/> Monthly Div. Plan Payout	<input type="radio"/> Monthly Div. Plan Reinvestment
	<input type="radio"/> Flexi Div. Plan Payout	<input type="radio"/> Flexi Div. Plan Reinvestment	<input type="radio"/> Monthly Payment Plan (Default-Growth Plan)
<input type="checkbox"/> UTI-BANKING & PSU DEBT FUND	<input type="checkbox"/> UTI-INCOME OPPORTUNITIES FUND	<input type="checkbox"/> UTI-SHORT TERM INCOME FUND	
<input type="radio"/> Growth	<input type="radio"/> Monthly Div. Payout	<input type="radio"/> Monthly Div. Reinvestment	
<input type="radio"/> Quarterly Div. Payout	<input type="radio"/> Quarterly Div. Reinvestment	<input type="radio"/> Half Yearly Div. Payout	
<input type="radio"/> Half Yearly Div. Reinvestment	<input type="radio"/> Annual Div. Payout	<input type="radio"/> Annual Div. Reinvestment	
<input type="radio"/> Flexi Div. Payout	<input type="radio"/> Flexi Div. Reinvestment	(Default-Growth Option/Sub Option except for UTI-STIF where the default is Qtly. Div. Sub Option)	
<input type="checkbox"/> UTI-BOND FUND	<input type="checkbox"/> UTI-DYNAMIC BOND FUND		
<input type="radio"/> Growth	<input type="radio"/> Quarterly Div. Payout	<input type="radio"/> Quarterly Div. Reinvestment	
<input type="radio"/> Half Yearly Div. Payout	<input type="radio"/> Half Yearly Div. Reinvestment	<input type="radio"/> Annual Div. Payout	
<input type="radio"/> Annual Div. Reinvestment	<input type="radio"/> Flexi Div. Payout	<input type="radio"/> Flexi Div. Reinvestment (Default-Growth Option)	
<input type="checkbox"/> UTI-FLOATING RATE FUND-STP	<input type="checkbox"/> UTI-LIQUID CASH PLAN	<input type="checkbox"/> UTI-MONEY MARKET FUND	<input type="checkbox"/> UTI-TREASURY ADVANTAGE FUND
<input type="checkbox"/> UTI-MEDIUM TERM FUND	<input type="radio"/> Growth	<input type="radio"/> Daily Div. Reinvestment <sup>&amp;&amp;&amp;</sup>	<input type="radio"/> Weekly Div. Payout <sup>&amp;&amp;</sup>
	<input type="radio"/> Weekly Div. Reinvestment <sup>&amp;&amp;&amp;</sup>	<input type="radio"/> Fortnightly Div. Payout <sup>&amp;&amp;&amp;</sup>	<input type="radio"/> Fortnightly Div. Reinvestment <sup>&amp;&amp;&amp;</sup>
	<input type="radio"/> Monthly Div. Payout	<input type="radio"/> Monthly Div. Reinvestment	<input type="radio"/> Quarterly Div. Payout
	<input type="radio"/> Quarterly Div. Reinvestment	<input type="radio"/> Half Yearly Div. Payout	<input type="radio"/> Half Yearly Div. Reinvestment
	<input type="radio"/> Annual Div. Payout	<input type="radio"/> Annual Div. Reinvestment	<input type="radio"/> Flexi Div. Payout
	<input type="radio"/> Flexi Div. Reinvestment	(Default-Growth Option under UTI-FRF, UTI-MMF & UTI-MTF) (Default-Daily Div. Reinvestment under UTI-LCP & UTI-TAF)	

**Please Note:**

&& Weekly Div. Payout Option NOT available under UTI-Liquid Cash Plan, UTI-Floating Rate Fund-STP & UTI-Medium Term Fund

&&& Daily Div. Reinvestment, Weekly Div. Reinvestment, Fortnightly Div. Payout & Fortnightly Div. Reinvestment option are not available under UTI-Medium Term Fund  
For Dividend Policy relating to various Options / Sub Options, please refer to SID.

<input type="checkbox"/> UTI-FIXED MATURITY PLAN	<input type="checkbox"/> YEARLY SERIES (YFMP)	<input type="checkbox"/> HALF YEARLY SERIES (HFMP)	<input type="checkbox"/> QUARTERLY SERIES (QFMP)
(Use separate form for each series)			
<input type="radio"/> Growth	<input type="radio"/> Dividend Payout	<input type="radio"/> Dividend Reinvestment	(Default-Growth Option)

Cheque / DD should be drawn in favour of UTI-Fixed Maturity Plan – YFMP (mm/yy) / HFMP (mm/yy) / QFMP (mm/yy-Plan No.)

**Details of Beneficial Ownership (Please tick applicable category). Ownership details to be provided if the Ownership percentage/interest any Beneficiary is as per the threshold limit provided below. Details to be provided for each such beneficiary.**

(Refer instruction q)

Category	<input type="checkbox"/> Unlisted company	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Unincorporated Association/Body of Individuals	<input type="checkbox"/> Trust	<input type="checkbox"/> Foreign Investor \$\$\$
Ownership per cent @@@	>25%	>15%	>15%	>=15%	

@@@ Ownership percentage of shares/capital/profits/property of juridical person/interest in the Trust as on the date of the application shall be furnished by the investor.

\$\$\$ In the case of Foreign investors, the beneficial ownership will be determined as per SEBI guidelines. For details refer to SAI/relevant Addendum.

In case of any change in the beneficial ownership, the investor will be responsible to intimate UTI AMC / its Registrar / KRA as may be applicable immediately about such change.

**Details of Beneficial Ownership (Please attach a separate sheet with this format if the space provided is insufficient)**

Sr. No.	Name	Address	Details of Identity such as PAN / Passport	% of ownership
1				
2				
3				

[Please attach self attested copy of PAN/Passport (proof of photo identity) along with application form]

Unitholding Option  Demat Mode  Physical Mode  (Available under all scheme except UTI-CRTS, UTI-MUS & UTI-FMP)

**DEMAT ACCOUNT DETAILS** - Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depository Participant. Demat Account details are compulsory if demat mode is opted above

National Securities Depository Limited	Depository Name _____	Central Depository Services (India) Limited	Depository Name _____
	DP ID No. _____		Target ID No. _____
	Beneficiary Account No. _____		

Enclosures :  Client Master List (CML)  Transaction cum Holding Statement  Delivery Instruction Slip (DIS)

**FRIEND IN NEED DETAILS** - In case UTI MF is unable to communicate with me/us at my / our registered address, I / we authorize UTI MF to correspond with the following person to ascertain my/our updated contact details. (refer instruction - k)

Name	F	I	R	S	T	M	I	D	D	L	E	L	A	S	T	
Address:																
Relationship with the applicant (optional)						Email						Mobile				

**GENERAL INFORMATION - Please (✓) wherever applicable**

**STATUS:**

<input type="checkbox"/> Resident Individual	<input type="checkbox"/> Minor through guardian	<input type="checkbox"/> HUF	<input type="checkbox"/> Partnership	<input type="checkbox"/> Trust
<input type="checkbox"/> Sole Proprietorship	<input type="checkbox"/> Society / Club	<input type="checkbox"/> Body Corporate	<input type="checkbox"/> AOP	<input type="checkbox"/> BOI
<input type="checkbox"/> FPI	<input type="checkbox"/> NRI	<input type="checkbox"/> Foreign Nationals**	<input type="checkbox"/> Listed Company	<input type="checkbox"/> LLP
<input type="checkbox"/> Unlisted 'Not for Profit'^^ Company	<input type="checkbox"/> Other Unlisted Company	<input type="checkbox"/> PIO		
<input type="checkbox"/> Others (Please specify) _____				

## OCBs are not allowed to invest in units of any of the schemes of UTI MF.  
^^ 'Not for Profit' Company as defined under Companies Act (Act of 1956/2013).

**OCCUPATION:**

<input type="checkbox"/> Business	<input type="checkbox"/> Student	<input type="checkbox"/> Agriculture	<input type="checkbox"/> Self-employed	<input type="checkbox"/> Professional
<input type="checkbox"/> Housewife	<input type="checkbox"/> Retired	<input type="checkbox"/> Private Sector Service	<input type="checkbox"/> Public Sector Service	<input type="checkbox"/> Government Service
<input type="checkbox"/> Forex Dealer	<input type="checkbox"/> Others (Please specify) _____			

**MODE OF HOLDING:**  Single  Anyone or survivor  Joint  First holder or Survivor (for UTI MUS)

**MARITAL STATUS:**  Unmarried  Married  Wedding Anniversary  DD  MM

**OTHER DETAILS (MANDATORY)**

**FOR INDIVIDUALS ONLY**

**1<sup>st</sup> Applicant:** (A) **Gross Annual Income Details** Please tick (✓)

Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore

[OR]

Net-worth in ₹ \_\_\_\_\_ (Net worth should not be older than 1 year) as on (date) DD/MM/YYYY

(B) **Please tick if applicable:**  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP) (For definition of PEP, please refer instruction 'x').

(C) **Any other information:** \_\_\_\_\_

**2<sup>nd</sup> Applicant:** (A) **Gross Annual Income Details**

Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore

[OR]

Net-worth in ₹ \_\_\_\_\_ (Net worth should not be older than 1 year) as on (date) DD/MM/YYYY

(B) **Please tick if applicable:**  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

(C) **Any other information:** \_\_\_\_\_

**3<sup>rd</sup> Applicant:** (A) **Gross Annual Income Details**

Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore

[OR]

Net-worth in ₹ \_\_\_\_\_ (Net worth should not be older than 1 year) as on (date) DD/MM/YYYY

(B) **Please tick if applicable:**  Politically Exposed Person (PEP)  Related to a Politically Exposed Person (PEP)

(C) **Any other information:** \_\_\_\_\_

**FOR NON-INDIVIDUALS ONLY**

(A) **Gross Annual Income Details**

Below 1 Lac  1-5 lacs  5-10 Lacs  10-25 Lacs  >25 Lacs - 1 Crore  >1 Crore

[OR]

Net-worth in ₹ \_\_\_\_\_ (Net worth should not be older than 1 year) as on (date) DD/MM/YYYY

(B) **Is the entity involved in / providing any or the following services**

- Foreign Exchange / Money Changer Services  YES  NO - Gaming / Gambling/Lottery Services (e.g. casinos, betting syndicates)  YES  NO  
- Money Lending / Pawning  YES  NO

(C) **Any other information:** \_\_\_\_\_



Haq. ek behtar zindagi ka.

**ACKNOWLEDGEMENT**  
(To be filled in by the Applicant)

Sr. No. 2016/

Received from Mr / Ms / M/s \_\_\_\_\_

An application under \_\_\_\_\_ (scheme name)

along with Cheque/DD/NEFT/RTGS \_\_\_\_\_ dated \_\_\_\_\_

Ref. No./Unique Serial No. (For Cash) \_\_\_\_\_

Drawn on (Bank) \_\_\_\_\_

for ₹ (in figures) \_\_\_\_\_

Stamp of UTI AMC Office/  
Authorised Collection Centre

<sup>s</sup> Cheques and drafts are subject to realisation.

